

**FARSIGHT SECURITIES LIMITED**  
**17-A/55, TRIVENI PLAZA, W.E.A., GURUDWARA ROAD,**  
**KAROL BAGH, NEW DELHI-110005**

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**RISK MANAGEMENT SYSTEM**

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1) Margin

No account open without collection of margin from client. The client should be informed about the exchange margin system. In case client open account only for sale of shares the advance Payin of shares collect from the client before sale of shares.

2) Financial background of client

Account shall be opened only after verification of financial background of the client in case of employee of private & government under taking. Extra caution required while verify the financial position also time to time check the current status of old client & update accordingly.

3) Exposure

Exposure given to client as per the exchange rules & regulations & check the position of client before close of market hours whether the client position within the limit.

4) MTM

Marked to market losses fixed for each client so that client can not incurred excess losses also fix the parameter for MTM ratio. Presently our MTM ratio is 50% of the deposit but it can be changed time to time depends upon the market situations.

5) Surveillance

Applied the ODIN surveillance & squaring option so that all excess position squared same day.

6) Delivery

a) In case of purchases the delivery transfer to client account only after receipts of cheques & clearance of cheques. If payment / cheque not received within the specified time the stock of client sold & informed to the client kept or in our beneficiary margin account.

b) In case if the client account has debit balance or group account has debit balance no stock transfer to client account only transfer after clearance of all dues etc.

c) In case of purchase of stock the delivery transfer to client account within the specified period if no dues / debit balance out standing in client account / client family account.

#### 7) Check the Money & Delivery Inflow / Outflow

Check the delivery received / transfer only from / to designated account of client.

#### 8) Employee account

Employee allowed to do trading only for delivery business, jobbing & others not allowed for staff of back office & front office.

#### 9) Up gradation of Client Master

Client master upgrade in odin & neat server on regularly basis.

#### 10) Intimation F&O client for risk involved in F&O trading

The client shall be informed about the risk involved in Derivatives trading & advise to go through the exchange risk disclosure documents.